

Gröbner bases of maximal minors and critical point computations

Journées nationales de calcul formel

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Critical points

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Problem

Compute the critical points of a polynomial g restricted to an algebraic set $V(F)$.

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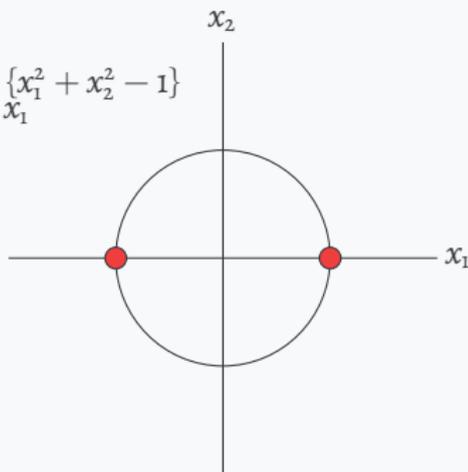
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Critical points
of $g|_{V(F)}$

Variety defined by
 F and the maximal
minors of $\text{jac}(g, F)$.

$$F = \{x_1^2 + x_2^2 - 1\}$$
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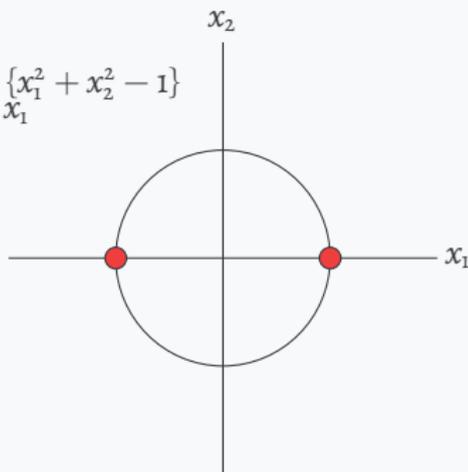
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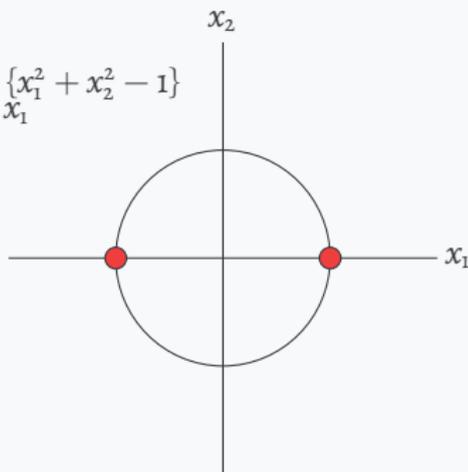
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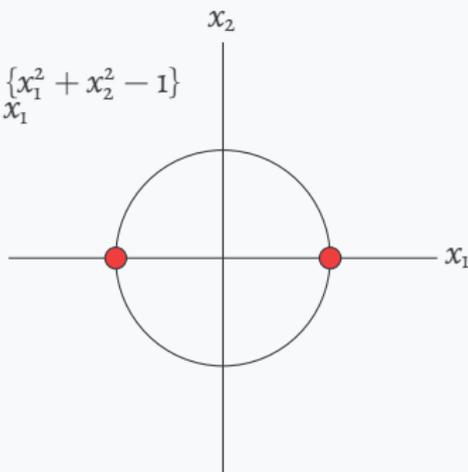
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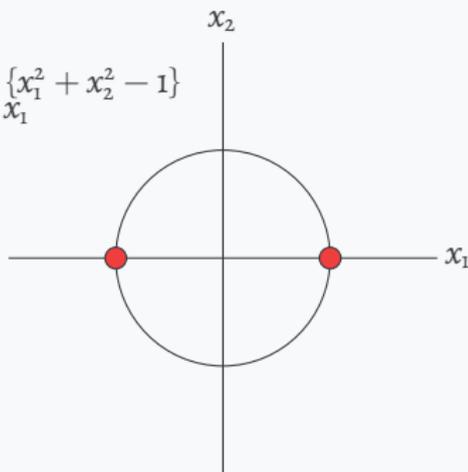
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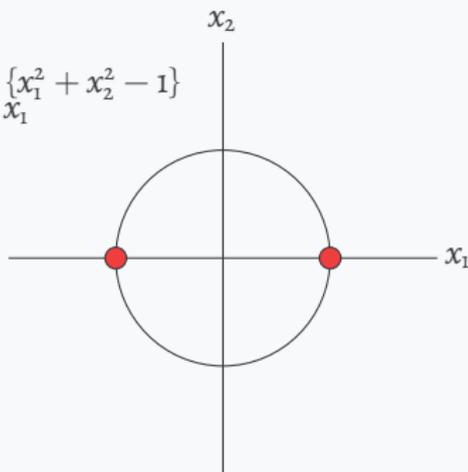
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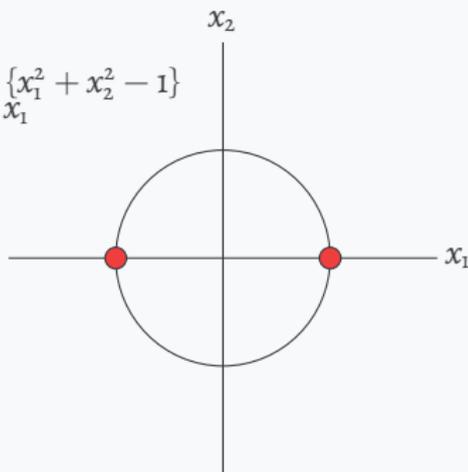
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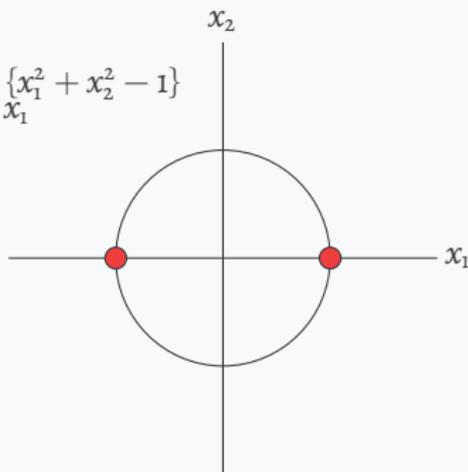
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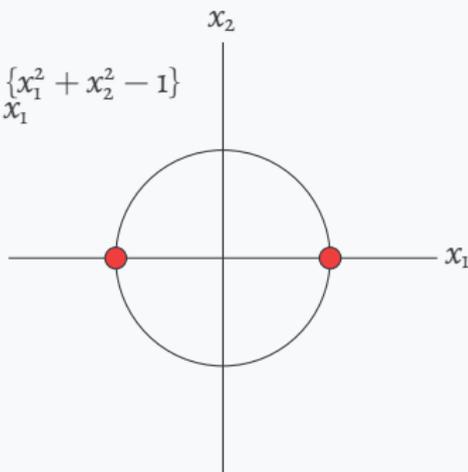
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Notation

$F_{p+1}(M)$ = system of $(p+1)$ -minors of M

$$\mathcal{J}_{p+1}(M) = \langle F_{p+1}(M) \rangle$$

Gröbner bases

$F \subseteq \mathbb{k}[x_1, \dots, x_n]$ a polynomial system.

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Definition (Gröbner bases)

A \succ -Gröbner basis is a finite generating set G for $\langle F \rangle$ such that

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Doubly exponential in the number of variables.

[Mayr, Mayer, 1982]

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For zero-dimensional systems:

$$\max_{f \in F} \{\deg f\}^{O(\# \text{ of variables})}$$

[Lazard, 1983]

Macaulay matrices - linearization

Assume F homogeneous.

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$$\begin{cases} \mathbf{f}_1 = 2x^2 + 11xy - y^2 \\ \mathbf{f}_2 = 4x^2 + xy - 2y^2 \\ \mathbf{f}_3 = -6x^2 - xy + y^2 \end{cases}$$

$$\begin{pmatrix} x^3 & x^2y & xy^2 & y^3 \\ & & & \\ & & & \\ & & & \end{pmatrix}$$

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The rows of the **echelonization** of the Macaulay matrix of F in degree d form the elements of degree d of a \succ -Gröbner basis for F .

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Theorem (Macaulay bound)

[Lazard, 1983]

The **maximum degree** of a polynomial in the **grevlex** Gröbner basis of a **generic** polynomial system f_1, \dots, f_m is

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The maximum number of elements in a generic polynomial

This upper bound is not very sharp since the Macaulay matrices are **not full rank!**

Gröbner basis of a

algebraic property: regularity

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The F_5 algorithm

[Faugère, 2002]

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$\tilde{\mathcal{M}}_2$

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\mathcal{M}_4

$$\begin{matrix} x^2f_1 \\ xyf_1 \\ y^2f_1 \\ xzf_1 \\ yzf_1 \\ z^2f_1 \\ x^2f_2 \\ xyf_2 \\ y^2f_2 \\ xzf_2 \\ yzf_2 \\ z^2f_2 \\ x^2f_3 \\ xyf_3 \\ y^2f_3 \\ xzf_3 \\ yzf_3 \\ z^2f_3 \end{matrix} \begin{pmatrix} x^4 & x^3y & x^2y^2 & xy^3 & y^4 & x^3z & x^2yz & xy^2z & y^3z & x^2z^2 & xyz^2 & y^2z^2 & xz^3 & yz^3 & z^4 \\ 5 & 5 & 3 & 0 & 0 & 5 & 5 & 0 & 0 & 6 & 0 & 0 & 0 & 0 & 0 \\ 0 & 5 & 5 & 3 & 0 & 0 & 5 & 5 & 0 & 0 & 6 & 0 & 0 & 0 & 0 \\ 0 & 0 & 5 & 5 & 3 & 0 & 0 & 5 & 5 & 0 & 0 & 6 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 5 & 5 & 3 & 0 & 5 & 5 & 0 & 6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 5 & 5 & 3 & 0 & 5 & 5 & 0 & 6 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 5 & 5 & 3 & 5 & 5 & 6 \\ 2 & 1 & 4 & 0 & 0 & 2 & 0 & 0 & 0 & 4 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 1 & 4 & 0 & 0 & 2 & 0 & 0 & 0 & 4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 1 & 4 & 0 & 0 & 2 & 0 & 0 & 0 & 4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 & 1 & 4 & 0 & 2 & 0 & 0 & 4 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 2 & 1 & 4 & 0 & 2 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 2 & 1 & 4 & 2 & 0 & 4 \\ 4 & 1 & 4 & 0 & 0 & 3 & 5 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 4 & 1 & 4 & 0 & 0 & 3 & 5 & 0 & 0 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 4 & 1 & 4 & 0 & 0 & 3 & 5 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 4 & 1 & 4 & 0 & 3 & 5 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 4 & 1 & 4 & 0 & 3 & 5 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 4 & 1 & 4 & 3 & 5 & 2 \end{pmatrix}$$

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$$f_3 = 4x^2 + xy + 4y^2 + 3xz + 5yz + 2z^2$$

$\tilde{\mathcal{M}}_2$

$$\begin{matrix} f_1 \\ f_2 \\ f_3 \end{matrix} \begin{pmatrix} x^2 & xy & y^2 & xz & yz & z^2 \\ \hline 1 & 1 & 2 & 1 & 1 & 4 \\ 0 & 1 & 0 & 0 & 2 & 4 \\ 0 & 0 & 1 & 2 & 0 & 4 \end{pmatrix}$$

\mathcal{M}_4

$$\begin{matrix} x^2f_1 \\ xyf_1 \\ y^2f_1 \\ xzf_1 \\ yzf_1 \\ z^2f_1 \\ x^2f_2 \\ xyf_2 \\ y^2f_2 \\ xzf_2 \\ yzf_2 \\ z^2f_2 \\ x^2f_3 \\ xyf_3 \\ y^2f_3 \\ xzf_3 \\ yzf_3 \\ z^2f_3 \end{matrix} \begin{pmatrix} x^4 & x^3y & x^2y^2 & xy^3 & y^4 & x^3z & x^2yz & xy^2z & y^3z & x^2z^2 & xyz^2 & y^2z^2 & xz^3 & yz^3 & z^4 \\ \hline 5 & 5 & 3 & 0 & 0 & 5 & 5 & 0 & 0 & 6 & 0 & 0 & 0 & 0 & 0 \\ 0 & 5 & 5 & 3 & 0 & 0 & 5 & 5 & 0 & 0 & 6 & 0 & 0 & 0 & 0 \\ 0 & 0 & 5 & 3 & 0 & 0 & 0 & 5 & 5 & 0 & 0 & 6 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 5 & 5 & 3 & 0 & 5 & 5 & 0 & 6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 5 & 5 & 3 & 0 & 5 & 5 & 0 & 6 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 5 & 5 & 3 & 5 & 5 & 6 \\ 2 & 1 & 4 & 0 & 0 & 2 & 0 & 0 & 0 & 4 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 1 & 4 & 0 & 0 & 2 & 0 & 0 & 0 & 4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 1 & 4 & 0 & 0 & 2 & 0 & 0 & 0 & 4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 & 1 & 4 & 0 & 2 & 0 & 0 & 4 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 2 & 1 & 4 & 0 & 2 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 2 & 1 & 4 & 2 & 0 & 4 \\ 4 & 1 & 4 & 0 & 0 & 3 & 5 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 4 & 1 & 4 & 0 & 0 & 3 & 5 & 0 & 0 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 4 & 1 & 4 & 0 & 0 & 3 & 5 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 4 & 1 & 4 & 0 & 3 & 5 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 4 & 1 & 4 & 0 & 3 & 5 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 4 & 1 & 4 & 3 & 5 & 2 \end{pmatrix}$$

The F_5 algorithm

[Faugère, 2002]

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\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	5	3	0	0	5	5	0	0	6	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
z^2f_3	0	0	0	0	0	0	0	0	0	4	1	4	3	5	2

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\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	5	3	0	0	5	5	0	0	6	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
z^2f_3	0	0	0	0	0	0	0	0	0	4	1	4	3	5	2

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\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	5	3	0	0	5	5	0	0	6	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
z^2f_3	0	0	0	0	0	0	0	0	0	4	1	4	3	5	2

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	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	5	3	0	0	5	5	0	0	6	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
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Lazard: \mathcal{M}_4 is 18×15 .

\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	5	3	0	0	5	5	0	0	6	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
z^2f_3	0	0	0	0	0	0	0	0	0	4	1	4	3	5	2

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Lazard: \mathcal{M}_4 is 18×15 .

F_5 : \mathcal{M}_4 is 15×15 and full rank!

\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	3	0	0	5	5	0	0	6	0	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
z^2f_3	0	0	0	0	0	0	0	0	0	4	1	4	3	5	2

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Lazard: \mathcal{M}_4 is 18×15 .

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zero rows in $\tilde{\mathcal{M}}_4$



zero rows in $\tilde{\mathcal{M}}_5$

\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	5	3	0	0	5	5	0	0	6	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
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$\tilde{\mathcal{M}}_2$

$$\begin{matrix} f_1 \\ f_2 \\ f_3 \end{matrix} \begin{pmatrix} x^2 & xy & y^2 & xz & yz & z^2 \\ \hline 1 & 1 & 2 & 1 & 1 & 4 \\ \hline 0 & 1 & 0 & 0 & 2 & 4 \\ \hline 0 & 0 & 1 & 2 & 0 & 4 \end{pmatrix}$$

Lazard: \mathcal{M}_4 is 18×15 .

F_5 : \mathcal{M}_4 is 15×15 and full rank!

zero rows in $\tilde{\mathcal{M}}_4$



zero rows in $\tilde{\mathcal{M}}_5$

(f_1, \dots, f_m) generic



{

\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	0	5	5	0	0	6	0	0	0	0
y^2f_1	0	0	5	5	3	0	0	5	5	0	0	6	0	0	0
xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	0	5	5	3	0	5	5	0	6	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	0	2	1	4	0	2	0	0	4	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	0	4	1	4	0	3	5	0	2	0
z^2f_3	0	0	0	0	0	0	0	0	0	4	1	4	3	5	2

The F_5 algorithm

[Faugère, 2002]

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$$\begin{matrix} f_1 \\ f_2 \\ f_3 \end{matrix}
 \begin{pmatrix}
 x^2 & xy & y^2 & xz & yz & z^2 \\
 \hline
 1 & 1 & 2 & 1 & 1 & 4 \\
 \hline
 0 & 1 & 0 & 0 & 2 & 4 \\
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{ No reductions to zero.

\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
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xyf_2	0	2	1	4	0	0	2	0	0	4	0	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	4	0	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	3	5	0	0	2	0	0	0	0	0
y^2f_3	0	0	4	1	4	0	3	5	0	0	2	0	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
yzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
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{ No reductions to zero.
Precise complexity analysis

[Bardet, Faugère, Salvy
2015]

\mathcal{M}_4

	x^4	x^3y	x^2y^2	xy^3	y^4	x^3z	x^2yz	xy^2z	y^3z	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
x^2f_1	5	5	3	0	0	5	5	0	0	6	0	0	0	0	0
xyf_1	0	5	5	3	0	5	5	0	0	6	0	0	0	0	0
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xzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
yzf_1	0	0	0	0	0	5	5	3	0	5	5	0	6	0	0
z^2f_1	0	0	0	0	0	0	0	0	0	5	5	3	5	5	6
x^2f_2	2	1	4	0	0	2	0	0	0	4	0	0	0	0	0
xyf_2	0	2	1	4	0	0	2	0	0	0	4	0	0	0	0
y^2f_2	0	0	2	1	4	0	0	2	0	0	0	4	0	0	0
xzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
yzf_2	0	0	0	0	0	2	1	4	0	2	0	0	4	0	0
z^2f_2	0	0	0	0	0	0	0	0	0	2	1	4	2	0	4
x^2f_3	4	1	4	0	0	3	5	0	0	2	0	0	0	0	0
xyf_3	0	4	1	4	0	0	3	5	0	0	2	0	0	0	0
y^2f_3	0	0	4	1	4	0	0	3	5	0	0	2	0	0	0
xzf_3	0	0	0	0	0	4	1	4	0	3	5	0	2	0	0
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$\tilde{\mathcal{M}}_2$

$$f_1 \begin{pmatrix} x^2 & xy & y^2 & xz & yz & z^2 \\ \hline 1 & 1 & 2 & 5 & 5 & 6 \\ f_2 & 0 & 1 & 0 & 0 & 2 & 4 \\ f_3 & 0 & 0 & 1 & 2 & 0 & 4 \end{pmatrix}$$

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(f_1, \dots, f_m) generic

[Bardet, Faugère, Salvy 2015]

Determinantal systems are not generic!

	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
f_1	6	0	0	0	0	0
f_2	0	6	0	0	0	0
f_3	0	0	6	0	0	0
yzf_1	0	0	0	6	0	0
z^2f_1	0	0	0	0	5	6
x^2f_2	2	1	4	0	2	0
xyf_2	0	2	1	4	0	2
y^2f_2	0	0	2	1	4	0
xzf_2	0	0	0	0	2	1
yzf_2	0	0	0	0	2	1
z^2f_2	0	0	0	0	0	2
x^2f_3	4	1	4	0	0	3
xyf_3	0	4	1	4	0	3
y^2f_3	0	0	4	1	4	0
xzf_3	0	0	0	0	4	1
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$\tilde{\mathcal{M}}_2$

$$f_1 \begin{pmatrix} x^2 & xy & y^2 & xz & yz & z^2 \\ 1 & 1 & 2 & 5 & 5 & 6 \\ f_2 & 0 & 1 & 0 & 0 & 0 \\ f_3 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

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Determinantal systems are not generic!

How do we remove reductions to zero?

	x^2z^2	xyz^2	y^2z^2	xz^3	yz^3	z^4
f_1	6	0	0	0	0	0
f_2	0	6	0	0	0	0
f_3	0	0	6	0	0	0
f_4	5	5	0	6	0	0
f_5	0	5	5	0	6	0
f_6	5	5	3	5	5	6
f_7	4	0	0	0	0	0
f_8	0	4	0	0	0	0
f_9	0	0	4	0	0	0
xzf_1	0	0	0	0	0	2
yzf_1	0	0	0	0	0	2
z^2f_1	0	0	0	0	0	2
x^2f_1	4	1	4	0	0	3
xyf_1	0	4	1	4	0	3
y^2f_1	0	0	4	1	4	0
xzf_2	0	0	0	0	0	4
yzf_2	0	0	0	0	0	4
z^2f_2	0	0	0	0	0	4
xzf_3	0	0	0	0	0	4
yzf_3	0	0	0	0	0	4
z^2f_3	0	0	0	0	0	4

Problem

Given $F = (f_1, \dots, f_p) \subseteq \mathbb{k}[x_1, \dots, x_n]$ and $g \in \mathbb{k}[x_1, \dots, x_n]$, all homogeneous of degree δ , compute a grevlex Gröbner basis of

$$\mathcal{J}(g, F) = \langle F \rangle + \mathcal{J}_{p+1}(\text{jac}(g, F)).$$

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New F_5 -type criterion

Leading monomials
of columns of $\text{jac}(g, F)$

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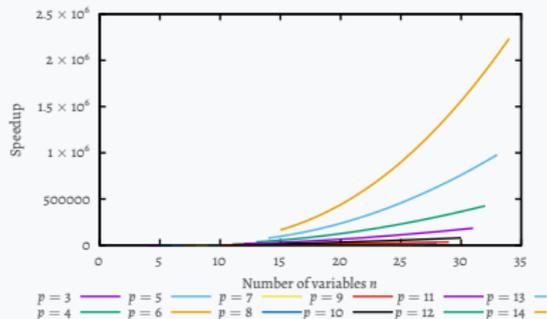
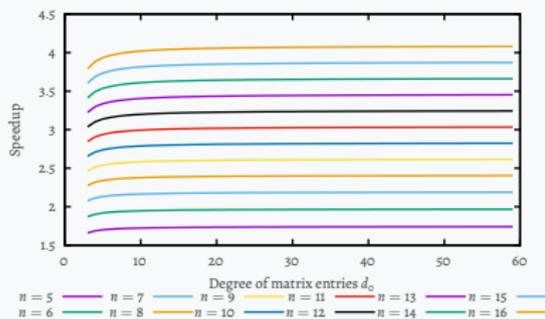
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Syzygies

Definition (Syzygy)

$$(a_1, \dots, a_m) \in \mathbb{k}[x_1, \dots, x_n]^m$$

with

$$a_1 f_1 + \dots + a_m f_m = 0$$

is called a **syzygy** of f_1, \dots, f_m .

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$$f_i = \text{LT}(f_i) + \text{tail}(f_i)$$

$$\Downarrow f_i f_j = f_j f_i$$

$$\underbrace{\text{LT}(f_i) f_j}_{\substack{\text{row of} \\ \text{Macaulay} \\ \text{matrix}}} = \underbrace{f_j f_i - \text{tail}(f_i) f_j}_{\substack{\text{combination of} \\ \text{rows of} \\ \text{Macaulay matrix}}}$$

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Syzygies of F

Reductions
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Syzygies of F

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Theorem (Hilbert's syzygy theorem)

[Hilbert, 1890]

Free resolution $0 \rightarrow \mathcal{E}_\ell \xrightarrow{d_\ell} \mathcal{E}_{\ell-1} \xrightarrow{d_{\ell-1}} \dots \rightarrow \mathcal{E}_1 \xrightarrow{d_1} \mathcal{E}_0 \xrightarrow{\epsilon} \langle F \rangle \rightarrow 0 \implies$

$$\text{Syzy}_k(F) = \ker(d_k) = \text{im}(d_{k+1}).$$

The Eagon-Northcott complex

$$\begin{pmatrix} f_{11} & f_{12} & f_{13} & f_{14} & f_{15} \\ f_{21} & f_{22} & f_{23} & f_{24} & f_{25} \\ f_{31} & f_{32} & f_{33} & f_{34} & f_{35} \end{pmatrix}$$

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$$f_{12} \begin{vmatrix} f_{13} & f_{14} & f_{15} \\ f_{23} & f_{24} & f_{25} \\ f_{33} & f_{34} & f_{35} \end{vmatrix} - f_{13} \begin{vmatrix} f_{12} & f_{14} & f_{15} \\ f_{22} & f_{24} & f_{25} \\ f_{32} & f_{34} & f_{35} \end{vmatrix} + f_{14} \begin{vmatrix} f_{12} & f_{13} & f_{15} \\ f_{22} & f_{23} & f_{25} \\ f_{32} & f_{33} & f_{35} \end{vmatrix} - f_{15} \begin{vmatrix} f_{12} & f_{13} & f_{14} \\ f_{22} & f_{23} & f_{24} \\ f_{32} & f_{33} & f_{34} \end{vmatrix}$$

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The Eagon-Northcott complex

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$$\begin{aligned} f_{12} & \begin{vmatrix} f_{13} & f_{14} & f_{15} \\ f_{23} & f_{24} & f_{25} \\ f_{33} & f_{34} & f_{35} \end{vmatrix} - f_{13} \begin{vmatrix} f_{12} & f_{14} & f_{15} \\ f_{22} & f_{24} & f_{25} \\ f_{32} & f_{34} & f_{35} \end{vmatrix} + f_{14} \begin{vmatrix} f_{12} & f_{13} & f_{15} \\ f_{22} & f_{23} & f_{25} \\ f_{32} & f_{33} & f_{35} \end{vmatrix} - f_{15} \begin{vmatrix} f_{12} & f_{13} & f_{14} \\ f_{22} & f_{23} & f_{24} \\ f_{32} & f_{33} & f_{34} \end{vmatrix} \\ f_{22} & \begin{vmatrix} f_{13} & f_{14} & f_{15} \\ f_{23} & f_{24} & f_{25} \\ f_{33} & f_{34} & f_{35} \end{vmatrix} - f_{23} \begin{vmatrix} f_{12} & f_{14} & f_{15} \\ f_{22} & f_{24} & f_{25} \\ f_{32} & f_{34} & f_{35} \end{vmatrix} + f_{24} \begin{vmatrix} f_{12} & f_{13} & f_{15} \\ f_{22} & f_{23} & f_{25} \\ f_{32} & f_{33} & f_{35} \end{vmatrix} - f_{25} \begin{vmatrix} f_{12} & f_{13} & f_{14} \\ f_{22} & f_{23} & f_{24} \\ f_{32} & f_{33} & f_{34} \end{vmatrix} \\ f_{32} & \begin{vmatrix} f_{13} & f_{14} & f_{15} \\ f_{23} & f_{24} & f_{25} \\ f_{33} & f_{34} & f_{35} \end{vmatrix} - f_{33} \begin{vmatrix} f_{12} & f_{14} & f_{15} \\ f_{22} & f_{24} & f_{25} \\ f_{32} & f_{34} & f_{35} \end{vmatrix} + f_{34} \begin{vmatrix} f_{12} & f_{13} & f_{15} \\ f_{22} & f_{23} & f_{25} \\ f_{32} & f_{33} & f_{35} \end{vmatrix} - f_{35} \begin{vmatrix} f_{12} & f_{13} & f_{14} \\ f_{22} & f_{23} & f_{24} \\ f_{32} & f_{33} & f_{34} \end{vmatrix} \end{aligned}$$

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Theorem

[Eagon, Northcott, 1962]

If M is a $p \times q$ matrix of generic polynomials, the syzygies of this form generate $\text{Syz}(F_p(M))$.

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The algorithm

$$F = (f_1, \dots, f_p) \subseteq \mathbb{k}[x_1, \dots, x_n]$$
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F_5

GB of first $n - p - 1$
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The algorithm

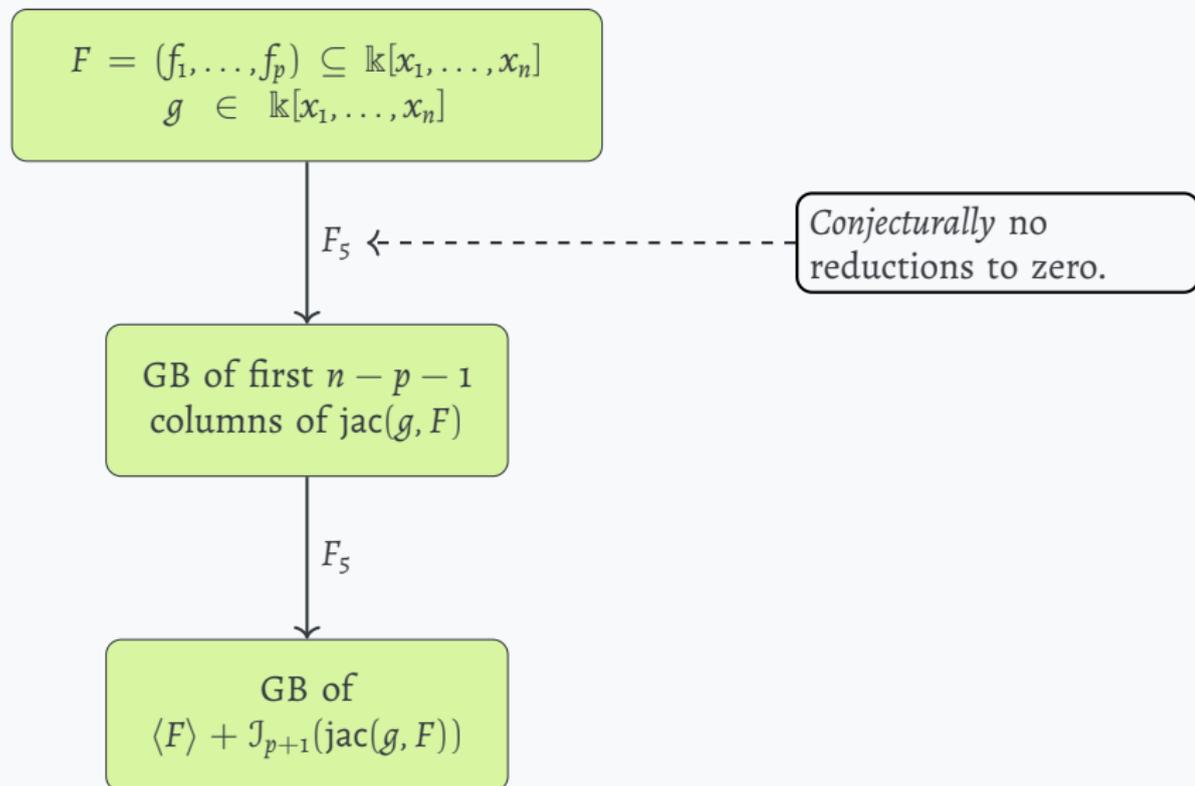
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$F_5 \leftarrow$

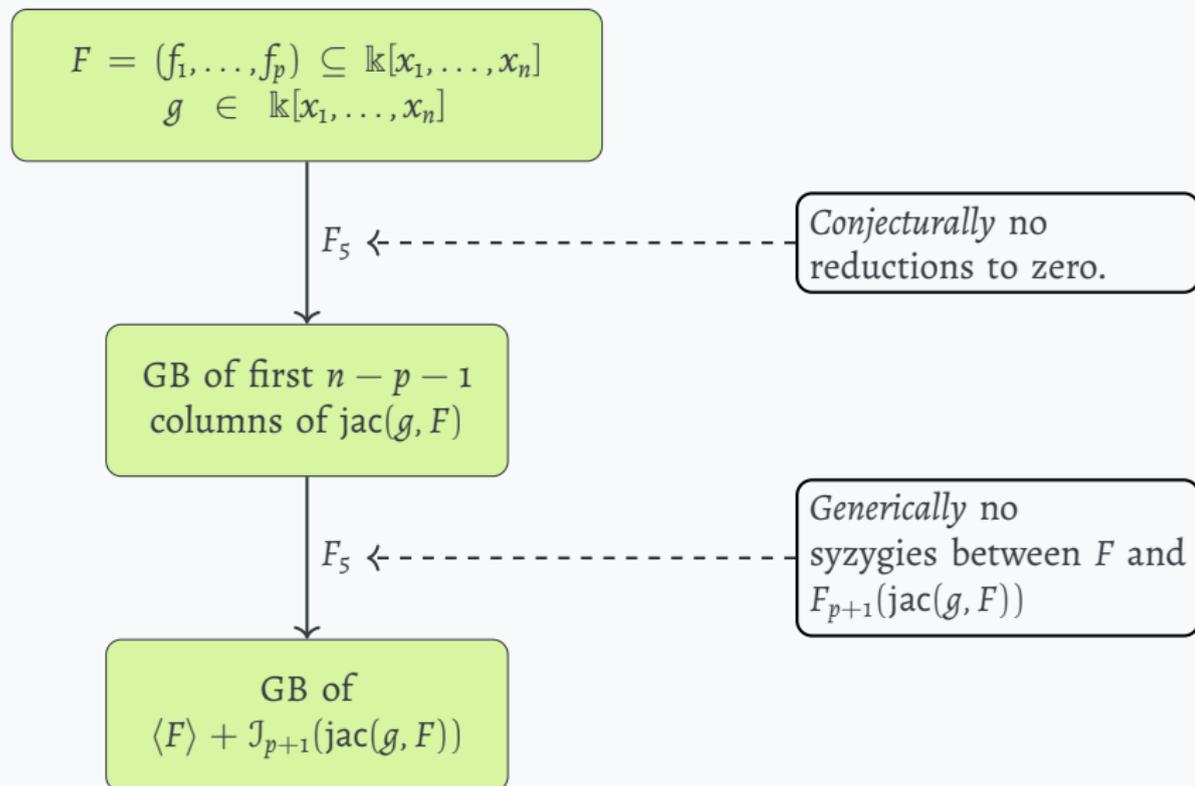
Conjecturally no
reductions to zero.

GB of first $n - p - 1$
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The algorithm



The algorithm



A complexity analysis

Theorem

[Spaenlehauer, 2013]

$F \in \mathbb{k}[x_1, \dots, x_n]$ a regular sequence and $g \in \mathbb{k}[x_1, \dots, x_n]$ **generic**, then
 $\text{Syz}(F \cup F_{p+1}(\text{jac}(g, F))) = \text{Syz}(F) \oplus \text{Syz}(F_{p+1}(\text{jac}(g, F)))$.

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Eagon-Northcott
complex

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Complexity

If $F = (f_1, \dots, f_p) \subseteq \mathbb{k}[x_1, \dots, x_n]$, $g \in \mathbb{k}[x_1, \dots, x_n]$ all homogeneous of degree δ , and $\text{HF}^{(j)}(d)$ is the Hilbert function of the first j columns of $\text{jac}(g, F)$,

$$\sum_{j=1}^{n-p-1} \text{HF}^{(j)}(d - (p+1)\delta) \binom{n-j-1}{p}$$

rows removed from $\mathcal{M}_d(F \cup F_{p+1}(\text{jac}(g, F)))$.

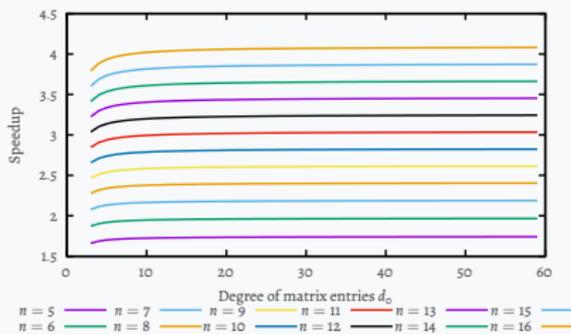
Experimental results

M a $p \times (n + p - 1)$ matrix of homogeneous polynomials of degree d_0 in n variables.

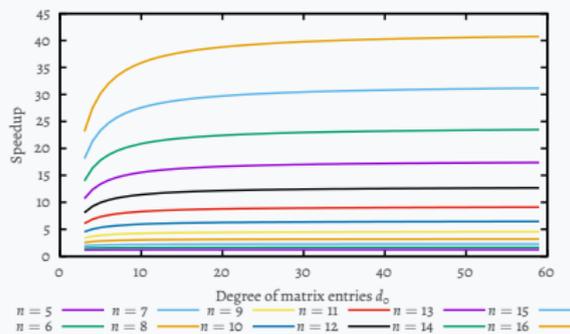
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Our algorithm



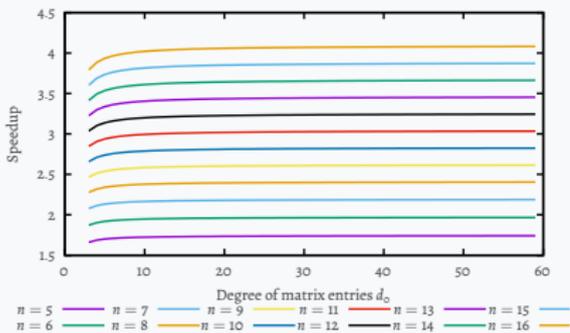
"Optimal" algorithm



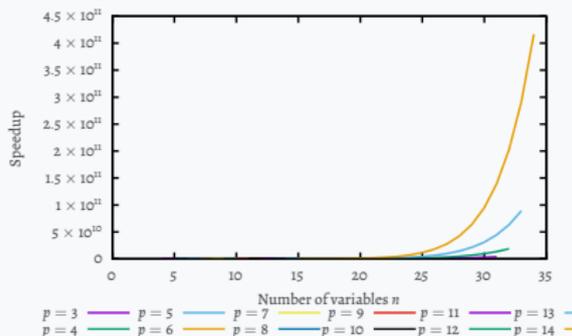
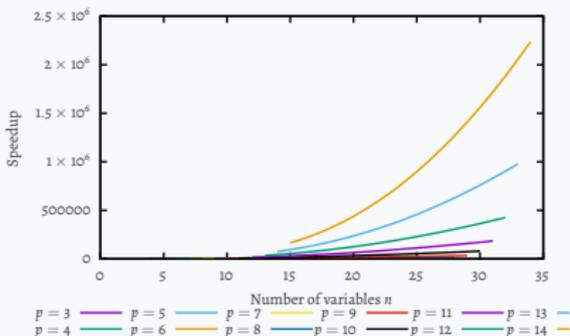
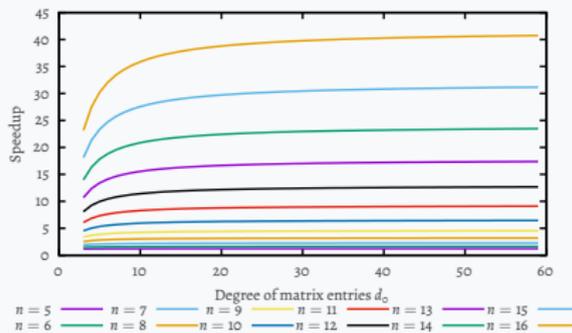
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Conclusion and perspectives

Summary

- New criteria to identify and avoid reductions to zero for maximal minors of polynomial matrices.

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THANK YOU!